



State Auditor, R. Thomas Wagner invites you to attend his Annual Fall Training!



Wednesday, November 16, 2016

8:00 a.m. Registration and Continental Breakfast

8:30 a.m. – 12:00 p.m.

Internal Control Assessments and Their Role in Combating Fraud Risk - 4 CPE Credits (Government)

Presenter: Marcia B. Buchanan, CPA, CGFM, CGMA (the expert on Yellow Book)
Prerequisites: None
Knowledge Level: Basic
Advanced Preparation: None

Program Description: The training program will provide an overview of Green Book standards for internal control and GAO's Framework for Managing Fraud Risk in Federal Programs and explain how auditors can use these guidance documents in conducting audits of government programs.

1:00 p.m. – 4:30 p.m.

Risk Assessment and Sampling in Performance Audit and Examinations - 2 CPE Credits (Auditing)

Presenter: Lori Stoughton, CPA (former Chief Administrative Auditor for State Auditor's Office)
Prerequisites: None
Knowledge Level: Basic
Advanced Preparation: None

Program Description: This is a refresher program covering the elements and requirements applicable to performing risk assessments and generating samples for performance audits and examinations.

Forensic Investigations: Improving Audit Support for Law Enforcement Action - 2 CPE Credits (Other)

Presenter: Detective Timothy Shockley, Delaware State Police, Financial Crimes Unit
Prerequisites: None
Knowledge Level: Basic
Advanced Preparation: None

Program Description: This presentation will address important considerations for forensic investigations and cover what auditors should look for, and what prosecutors need.

Location:

Hilton Garden Inn Dover
1706 N. DuPont Hwy., Dover, DE 19901

Cost: \$195 Click here for the registration form:

<http://auditor.delaware.gov/Documents/FallRegForm.pdf>

The cost includes the CPEs described above, continental breakfast, lunch, and snacks.

Refund Policy: Refunds are provided if the attendee provides to AOA notice of cancellation at least two weeks prior to training (i.e. if the training is on June 5th, there is no refund for cancellations after May 24th). Please send notice of cancellation to Deborah.Kriegisch@state.de.us.

Program Cancellation: It is not AOA's practice to cancel trainings; however, there are instances that occasionally prevent an event from occurring, such as inclement weather. If an event such as this occurs, AOA will make every effort possible to reschedule the training.

Complaint Resolution: AOA will provide a written response to all CPE related complaints within 30 days. Please contact Tammy.Smith@state.de.us.

For additional contact information visit <http://auditor.delaware.gov/>.

* Auditors must use Professional Judgment when determining if these sessions qualify for Yellow Book CPE in accordance with the Government Accountability Office, Government Auditing Standards, 2011 Revision and Guidance on GAGAS Requirements for Continuing Professional Education, GAO-05-568.



AOA is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. (National Registry of CPE Sponsors ID #122406)